

Catch Certification Scheme of Sri Lanka

Guidelines to the stakeholders

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Chapter I

The guidelines for the application for simplified catch certificate (SCC)

1. **Scope:** The simplified version of the catch certificate (specimen attached as Annex I to this document) shall apply to the catches obtained from the small boats as specified in the Form “B” of the Schedule I of the Fish Catch Data Collection Regulations, 2014 published in the Gazette Extraordinary No. 1878/11 of 01 September, 2014.

A small boat means;

- a) with overall length of less than 12 meters without towed gear;
 - b) without a superstructure; or
 - c) of less than 20 gross tonnage.
2. **The requirements to be fulfilled:** The following requirements are to be fulfilled.
 - a) The raw material supply boat should be a registered boat under the Department of Fisheries and Aquatic Resources (DFAR).
 - b) The fishing operations license/the high seas fishing license should be remain valid.
 - c) the duly completed and certified DFAR prescribed “Fish catch validation certificate” (specimen attached as Annex VII to this document) shall be produced.
 - d) Duly completed log book sheets shall be produced.

3. Guidelines for completion of the SCC:

In the SCC:

- i. The box "document number" is used to identify the document and it is therefore reserved to be filled in by the DFAR.

The “**document number**” shall include:

- a) the “ISO code for Sri Lanka”
- b) “Identifying code for the competent authority (DFAR)”
- c) “Year of validation” and
- d) “Number in continuous series”.

- ii. The "validation authority" is used to identify the authority which validates it. It is therefore reserved to be filled in by the DFAR. The information required such as "**Name**", "**Address**", "**Tel.**" "**Fax**" refers to the validating authority and is also to be provided by the DFAR.
- iii. **The Box 1 (description of product):** shall indicate the product form and the type of presentation such as fresh/chilled loins, fresh /chilled whole fish etc. The product could be further described by using the **species name** and the **product code**.

The product code is the customs code used in the nomenclature implemented by Sri Lanka and they are all based upon the 6-digit code of the Harmonised System. The EC makes available on-line all the national nomenclatures to the public on the page "Applied Tariffs Database" of the website <http://mkaccdb.eu.int>.

This part of the catch certificate should be filled by the exporter.

Verified weight landed: This information should be filled by the DFAR. In this box the actual total weight of the consignment to be exported shall be indicated.

- iv. **The Box 2 (References of applicable conservation and management measures):** This provision refers to the conservation and management measures related to the species for which the catch certificate is issued. It can either be national measures that fishing boats have to adhere or measures adopted by the Indian Ocean Tuna Commission. This information is to be filled by the exporter.
- v. **The Box 3 (List of vessels that have provided catches and the quantities by each vessel):** This information shall be filled by the exporter. The exporter shall provide all available information on the fishing vessels concerned, such as names, registration numbers, etc, together with the respective product quantities obtained by each vessel. This information shall be stated on a separate sheet with the heading "**List of boats that provided the catches**" as an appendix (sample format attached) to the simplified catch certificate. This sheet shall be signed by the exporter and certified by the authority.
- vi. **The Box 4:** This information is to be filled, signed and sealed or stamped by the exporter of the consignment.
- vii. **The Box 5 (Flag state authority validation):** This is the responsibility of the DFAR to validate the simplified catch certificate for the fish and fishery products that are to be exported to the EU countries based on the evidence that the products derive from catches complying with the applicable conservation and management measures in place.

- viii. **The Box 6 (transport details)** : This section for transport details should be completed by the exporter and shall be attached to the SCC as an appendix and in this same appendix the **Re - export** section shall appear but shall be left blank (Sample format attached) ; and
- ix. **The Box 7 (importer declaration):** Shall be left blank.
- x. **Box 8 (import control – authority):** Shall be left blank.

Chapter II

The guidelines for the application for regular catch certificate

(RCC)

- 2. Scope:** The regular version of the catch certificate (specimen attached as Annex II to this document) shall apply to the catches obtained from the large boats as specified in the Form “A” of the Schedule I of the Fish Catch Data Collection Regulations, 2014 published in the Gazette Extraordinary No. 1878/11 of 01 September, 2014.

A large boat means;

- a) with overall length of more than 12 meters with towed gear;
 - b) with a superstructure; or
 - c) of more than 20 gross tonnage.
- 3. The requirements to be fulfilled:** The following requirements are to be fulfilled.
- a) The raw material supply boat should be a registered boat under the Department of Fisheries and Aquatic Resources (DFAR).
 - b) The fishing operations license/the high seas fishing license should be remain valid.
 - c) the duly completed and certified DFAR prescribed “Fish catch validation certificate” (specimen attached as Annex VII to this document) shall be produced.
 - d) Duly completed log book sheets shall be produced.

4. Guidelines for completion of the RCC:

In the RCC:

- i. The box "document number" is used to identify the document and it is therefore reserved to be filled in by the validating authority.

The “**document number**” shall include:

- a) the “ISO code for Sri Lanka”
- b) “Identifying code for the competent authority (DFAR)”
- c) “Year of validation” and
- d) “Number in continuous series”.

- ii. The "validation authority" is used to identify the authority which validates it. It is therefore reserved to be filled in by the DFAR. The information required such as “**Name**”, “**Address**”, “**Tel.**” “**Fax**” refers to the validating authority and is also to be provided in here by the DFAR.
- iii. **The Box 2:** This box required to be filled with the boat details such as name, flag state registration number, fishing licence number/the high seas fishing license number and call sign when available.
- iv. **The Box 3 (description of product):** shall indicate the product form and the type of presentation such as fresh/chilled loins, fresh /chilled whole fish etc.

The **type of processing authorized on board** shall be chilling on ice, freezing etc.

The product could be further described by using the **species name** and the **product code**.

The product code is the customs code used in the nomenclature implemented by Sri Lanka and they are all based upon the 6-digit code of the Harmonised System. The EC makes available on-line all the national nomenclatures to the public on the page "Applied Tariffs Database" of the website <http://mkaccdb.eu.int>.

The **catch area and dates** will indicate the area where the catches were made. This area could either be the Sri Lankan EEZ or high seas. To identify the area the area code shall be inserted. It shall be either the national EEZ code (or any national coding system), IOTC code or FAO code. The dates will refer to the dates when the catches were made as per the log sheets.

When fish is exported live or when only part of the landed catch is exported or if the products are processed in the flag state before exported to EU the **estimated live weight** should be used. In here only the weight of the product (actual weight of the consignment) to be exported shall be included.

The **estimated weight to be landed** shall be inserted if all the fish from one landing is exported to the EU in one consignment. This is an estimate of the gross weight landed.

The above parts of the catch certificate should be filled by the operator and not by the authority.

The box for the **verified weight landed (kg)** where appropriate should be filled only by the DFAR after validation of the total weight of landing. The verified weight shall also reflect in the fish catch validation certificate.

- v. **The Box 4 (References of applicable conservation and management measures):** This provision refers to the conservation and management measures related to the species for which the catch certificate is issued. It can either be national measures that fishing boats have to adhere or measures adopted by the Indian Ocean Tuna Commission for which Sri Lanka is a contracting party. This information is to be filled by the exporter.
- vi. **Box 5 (name of master/signature/seal):** The RCC shall be signed and sealed or stamped by the captain/master of the fishing vessel.

The representative of the captain/master can sign the catch certificate and request for the validation. Only the names and signatures of the authorized representatives submitted to the DFAR shall be recognized. Amendments to the list of representatives could be done with prior notice.

Electronic signatures and electronic transmission shall be developed in future and European Commission will be notified accordingly.
- vii. **The Box 6 (declaration of transshipment at sea) and the Box 7 (transshipment authorisation within a port area):** Not applicable.
- viii. **The Box 8** shall be filled, signed and sealed or stamped by the exporter of the consignment,
- ix. **The Box 9 (Flag state authority validation):** It is the responsibility of the DFAR to validate the regular catch certificate for the fish and fishery products that are to be exported to the EU countries based on the evidence that the products derive from catches complying with the applicable conservation and management measures in place.
- x. **The Box 10 (transport details):** This section for transport details should be completed and signed by the exporter and shall be attached to the RCC as an appendix.; and
- xi. **The Box 11 (importer declaration):** Shall be left blank.

- xii. **The Box 12 (import control – authority):** Shall be left blank.

Chapter III

The guidelines for the application for processing statement

1. **Scope:** The processing statement (specimen attached as Annex III to this document) shall apply to every re – export to EU with value addition as specified in the Schedule II of the Fish Catch Data Collection Regulations, 2014 published in the Gazette Extraordinary No. 1878/11 of 01 September, 2014.
2. **The requirements to be fulfilled:** The following requirements are compulsory.
 - i. The raw material should be imported to Sri Lanka from a country that appears in the EC importing country list.
 - ii. The original catch certificate and the health certificate issued by the competent authority of the said country for the particular consignment should be produced.
 - iii. The applicant processing establishment should appear in the current list of EU approved establishments.
 - iv. The operating license, and certificate of authorization issued by the DFAR in respect of the applicant establishment remain valid.
3. **Guidelines for completion of the processing statement:**

In the processing statement;

- i. The product description and the relevant HS code for each product should be inserted in the first paragraph of the statement.
- ii. The information extracted from the catch certificate (s) concerned should be inserted at the relevant locations of the columns 1 -5 in the table below the first paragraph.
- iii. In the above table, the column with the heading “catch processed (kg)” should be filled with the quantity of the imported catch used for processing.

- iv. The last column of the above table with the column heading “processed fishery products (kg)” should be filled with the quantity of the processed product.
- v. In the third paragraph, the name and address of the approved fish processing establishment, name and address of the exporter (if different from the processing establishment), the EU approval number from the DFAR and the reference to the health certificate issued by the DFAR for the relevant consignment should be inserted.
- vi. The table below the third paragraph of the statement should be completed by the fish processing establishment and up to here the statement should be filled by them.
- vii. The official endorsement of the authority should be filled by the DFAR.

Annex I

DEPARTMENT OF FISHERIES AND AQUATIC RESOURCES
New Secretariat, P.O.Box: 531, Maligawatta, Colombo 10, Sri Lanka

CATCH CERTIFICATE FOR SMALL BOATS

Document number		Validating Authority (name, address, tel., fax)			
1. Description of Product		2. References of applicable conservation and management measures			
Species	Product code	Verified Weight Landed (kg)			
3. List of vessels that have provided catches and the quantities by each vessel (name, registration number, etc. annexed):					
4. Exporter details – Name and address	Telephone number	Fax number	Signature	Date	Seal (stamp)
5. Flag State Authority Validation:					
Name/Title	Signature	Date		Seal (Stamp)	
6. Transport details : (see Appendix)					
7. Importer Declaration:					
Name and address of Importer	Signature	Date	Seal (stamp)	Product CN code	
8. Import control: Authority	Place	Importation authorised*	Importation suspended*	Verification requested - date	
Customs declaration (if issued)	Number		Date	Place	

(*) Tick as appropriate

Annex II



DEPARTMENT OF FISHERIES AND AQUATIC RESOURCES
New Secretariat, P.O.Box: 531, Maligawatta, Colombo 10, Sri Lanka

CATCH CERTIFICATE FOR LARGE BOATS

Document number		Validating Authority					
1. Name		Address			Tel. Fax		
2. Fishing Vessel Name		Flag - Home Port and Registration Number		Call Sign		IMO/Lloyd's Number (if issued)	
Fishing licence No. – Valid to		Inmarsat No. Telefax No. Telephone No. E-mail address (if issued)					
3. Description of Product		Type of processing authorized on board:		4. References of applicable conservation and management measures			
Species	Product code	Catch area(s) and dates	Estimated live weight (kg)	Estimated weight to be landed (kg)	Verified Weight Landed (kg) where appropriate		
5. Name of master of fishing vessel - Signature - Seal:							
6. Declaration of Transshipment at Sea Name of Master of Fishing vessel			Signature and Date	Transshipment Date/Area/Position		Estimated weight (kg)	
Master of Receiving Vessel		Signature	Vessel Name		Call Sign	IMO/Lloyds Number (if issued)	
7. Transshipment authorization within a port area:							
Name	Authority	Signature	Address	Tel.	Port of Landing	Date of Landing	Seal (Stamp)

8. Name and address of Exporter	Signature	Date	Seal		
9. Flag State Authority Validation:					
Name/Title	Signature	Date	Seal (Stamp)		
10. Transport details : See Appendix					
11. Importer Declaration:					
Name and address of Importer	Signature	Date	Seal	Product CN code	
Documents under Articles 14(1), (2) of Regulation (EC) n° .../...	References				
12. Import control: Authority	Place	Importation authorised*	Importation suspended*	Verification requested – date	
Customs declaration (if issued)	Number		Date	Place	

RE – EXPORT CERTIFICATE					
Certificate number:		Date:	Member State:		
1. Description of re – exported product			Weight (kg)		
Species	Product code		Balance from total quantity declared in the catch certificate		
2. Name of re -exporter	Address	Signature		Date	
3. Authority					
Name/Title	Signature	Date	Seal/Stamp		
4. Re-export control					
Place	Re-export authorized (*)	Verification required (*)	Re-export declaration number and date		

(*) Tick as appropriate

Annex III



DEPARTMENT OF FISHERIES AND AQUATIC RESOURCES
 New Secretariat, P.O.Box: 531, Maligawatta, Colombo 10, Sri Lanka

PROCESS STATEMENT TO PREVENT, DETER AND ELIMINATE ILLEGAL, UNREPORTED AND UNREGULATED FISHING

I confirm that the processed fishery products:..... (product description and Combined Nomenclature code) have been obtained from catches imported under the following catch certificate(s):

Catch certificate number	Vessel name(s) and flag(s)	Validation date(s)	Catch description	Total landed weight (kg)	Catch processed (kg)	Processed fishery product (kg)

Name and address of the processing plant

.....

Name and address of the exporter (if different from the processing plant)

.....

Approval number of the processing plant

Health certificate number and date

Responsible person of the processing plant :	Signature:	Date:	Place:
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Endorsement by the competent authority:.....

Official:	Signature and seal:	Date:	Place:
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Annex IV**Appendix**

Catch Certificate Reference

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TRANSPORT DETAILS FOR THE SIMPLIFIED CATCH CERTIFICATE/PROCESSING STATEMENT

1. Country of exportation Port/airport/other place of departure	2. Exporter signature			
Vessel name and flag Flight number, airway bill number Truck nationality and registration number Railway bill number Other transport document	Container number (s)	Name	Address	Signature

RE – EXPORT CERTIFICATE				
Certificate number:	Date:	Member State:		
1. Description of re – exported product		Weight (kg)		
Species	Product code	Balance from total quantity declared in the catch certificate		
2. Name of re -exporter	Address	Signature	Date	
3. Authority				
Name/Title	Signature	Date	Seal/Stamp	
4. Re-export control				
Place	Re-export authorized (*)	Verification required (*)	Re-export declaration number and date	

(*) Tick as appropriate

Annex V

Appendix

Catch Certificate Reference

TRANSPORT DETAILS FOR THE REGULAR CATCH CERTIFICATE

<p>1. Country of exportation</p> <p>Port/airport/other place of departure</p>	<p>2. Exporter signature</p>			
<p>Vessel name and flag</p> <p>Flight number, airway bill number</p> <p>Truck nationality and registration number</p> <p>Railway bill number</p> <p>Other transport document</p>	<p>Container number (s)</p>	<p>Name</p>	<p>Address</p>	<p>Signature</p>

Annex VII

DEPARTMENT OF FISHEIRES AND AQUATIC RESOURCES
New Secretariat, P.O.Box: 531, Maligawatta, Colombo 10, Sri Lanka

FISH CATCH VALIDATION CERTIFICATE

Name of the fishing boat:		Catch area:		
Name of the owner:		Date (s) of unloading:		
Type of boat:		Place of unloading:		
Source of raw-material (Name of the fishing vessel)	Fish species	Verified weight landed (mt/kg)	Destination	
			Buyer (indicate the name of the fish processing plant)	Quantity
			Certified by Skipper/representative of the boat/supplier	Verified by DFAR /Harbour officer